



Credit Union Department

Director Application and Agreement to Serve

Credit Union _____

Applicant's Name: _____
Last First Middle

HomeAddress _____
Street City State Zip Code

Phone (_____) _____ (_____) _____
Residence Business

Current Employer: _____ Position: _____

Type of Business: _____ Date of Employment: _____

List any other positions, directorates, or offices held in the past five (5) years:

Date	Position	Organization

Educational Background: High School graduate? ☐ Yes College graduate? ☐ Yes

School and major field of study: _____

List any other relevant training or educational accomplishments:

List any membership(s) in professional societies and associations:

List all financial institutions of which you have been or are currently an official, employee, director, or committee member and include dates.

Estimated number of hours you will be able to donate as a volunteer each month _____

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The following questions address the minimum qualification requirements of Commission Rule 91.501(b):

- | | |
|---|--|
| 1) Have you ever been denied fidelity bond coverage, had bond coverage cancelled or revoked, or been notified that you are not eligible to obtain bond coverage? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2) Have you ever had a judgment issued against you in a civil action based upon grounds of fraud, deceit or misrepresentation? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3) Have you ever caused this credit union to suffer a financial loss? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4) Have you ever been removed from office by any regulatory or governmental agency while acting as an officer, agent, employee, consultant, or representative of any financial institution? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5) Have you ever been personally subject to an operating directive for cause while serving as an officer, director, or senior executive management personnel of any financial institution? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6) Have you ever caused or participated in an activity that resulted in the suspension or revocation of a financial institution's certificate of incorporation, or authority or license to do business? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 7) Have you ever been convicted of any criminal offense involving dishonesty or a breach of trust? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 8) Are there any legal or administrative proceedings pending against you? | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If you answered "yes" to any of the preceding questions, please attach a separate sheet that provides additional information regarding the circumstances.

To facilitate the process of obtaining a background check, please provide the following information:

• Date of Birth _____ Place of Birth _____

• Any other names you have used _____

• Previous addresses during the past 5 years _____

Certification and Agreement to Serve

I certify that the information provided on this form is true and correct. If elected or appointed to office, I pledge to carry out my duties and responsibilities commensurate with said office(s) as promulgated by the Texas Finance Code and bylaws of this credit union. I authorize the credit union to obtain a credit report and other information necessary to complete a background check.

Signature

Date



BOARD OF DIRECTORS CANDIDATE CERTIFICATE OF WILLINGNESS TO SERVE

I, the undersigned, hereby submit my name for consideration as a Candidate for election to the Board of Directors of Rally Credit Union. I hereby certify that I am agreeable to being nominated and if I am elected to the Board of Directors, I will be willing to serve.

I have attached a statement of my qualifications and biographical data for publication, if necessary, that indicates my education, experience, and any community service in which I have been involved.

If additional information is required, I may be reached at the address and/or telephone number(s) listed below:

Printed Name: _____

Signature: _____

Address: _____

Telephone: _____

Account Number: _____

Social Security Number: _____

Date: _____



BOARD OF DIRECTORS CANDIDATE QUESTIONNAIRE

Name of Prospective Board Member: _____

1. Please tell us a little about where you work, what your position is, and about your job and/or volunteer experience.

2. Do you have prior experience serving as a member of a Board of Directors? If so, please describe your experience.

3. Are you a Rally Credit Union member?

4. Are you a member of any other credit union(s)? If so, which one(s)?

5. Explain how well you know what a credit union is (i.e. services, structure, not-for-profit cooperative, etc.)?

6. Why are you interested in volunteering to serve on the Board of Rally Credit Union?

7. Are you prepared to contribute at least 20 hours a month to attend board and committee meetings and analyze the materials for those meetings – Board packets, financials, business decisions, policies and procedures?
8. Ongoing Board training and education are an essential component of possessing the necessary foundation and knowledge to be a good Credit Union Board member. Are you willing to actively participate in self-study training programs, occasional seminars, and attend one educational conference (i.e. Cornerstone League Educational Conference, Volunteers Forum, or other Board education conference) at least one time during your term?

By my signature below, I certify that the foregoing statements are true and correct.

Signature: _____

Date: _____

Printed Name: _____